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APPLICATION NUMBER: 09/031,858

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PCT APPLICATION NUMBER: PCT/US99/04158

# PRIORITY DOCUMENT

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# APPLICATION **FOR** UNITED STATES LETTER PATENT

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TO THE ASSISTANT COMMISSIONER FOR PATENTS:

BE IT KNOWN, that I, Barry S. Fogel have invented certain new and useful improvements in METHOD FOR TREATING PAINFUL CONDITIONS OF THE ANAL REGION AND COMPOSITIONS THEREFOR of which the following is a specification:

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# Method for Treating Painful Conditions of the Anal Region and Compositions Therefor

## **Background of the Invention**

This invention relates to methods and compositions for treating painful conditions of the anal region and more particularly for treating anal fissures, thrombosed or inflamed hemorrhoids, for pain associated with ligation of internal hemorrhoids and for chronic anal pain.

Anal fissures can be an extremely painful condition. The primary reason for severe pain is spasm of the anal sphincter. This spasm causes ischemia, which both produces pain and interferes with healing (Sharp, American Journal of Surgery, 1996; Volume 171, pages 512-515; Schouten et al., 1993, Scandinavian Journal of Gastroenterology, Volume 31, Supplement 218, pp. 78-81). Spasm of the anal sphincter also plays a role in the pain of inflammatory conditions of the anal region, such as inflamed or recently thrombosed hemorrhoids (Janicke & Pundt, 1996, Emergency Medicine Clinics of North America, Volume 14, pp. 757-788). See also, Madoff, RD., "Pharmacologic Therapy for Anal Fissure," New England Journal of Medicine 1998 Jan 22:338(4) 217-20.

Effective treatments for anal fissures, whether medical or surgical, involve relaxation of the spastic muscle. These treatments include lateral sphincterotomy, injection of the sphincter with botulinum toxin, and application of nitroglycerin ointment. A recent review by Sharp of treatment for chronic anal fissures recommends beginning with nitroglycerin ointment. If the fissure has not healed in six weeks, botulinum toxin injections are given. That review notes that "considerable educational effort is required to successfully adjust the dose" of nitroglycerin (Sharp, 1996, ibid.). It states that nitroglycerin "will often eliminate the severe pain of fissure-in-ano in 1 day". Schouten et al. (1993, ibid.) used topical isosorbide dinitrate to treat chronic anal fissures, attaining pain relief" within 10 days". Lund & Scholefield (1997, Lancet, Volume 349, pp. 11-14) reported a randomized controlled trial of 0.2% nitroglycerin ointment for anal fissure. At 2 weeks, pain on defecation, as measured by a visual analogue scale (0=no pain,

(X)	the specification filed herewith
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()	filedU.S. Patent Number
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concern, or invention as	s of the above-identified small business concern are not exclusive, each individual, rorganization having rights to the invention is listed below* and no rights to the re held by any person, other than the inventor(s), who could not qualify as a small near under 37 CFR 1.9(d) or a non-profit organization under 37 CFR 1.9(e).
*NOTE: organization 1.27).	Separate verified statements are required from each named person, concern, or a having rights to the invention, averring to their status as small entities. (37 CFR
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resulting in earliest of	lge the duty to file, in this application or patent, notification of any change in status loss of entitlement to small entity status prior to paying, or at the time of paying, the the issue fee or any maintenance fee due after the date on which status as a small longer appropriate. (37 CFR 1.28(b))
statements statements v punishable that such	eclare that all statements made herein of my own knowledge are true and that all made on information and belief are believed to be true; and further that these were made with the knowledge that willful false statements and the like so made are by fine or imprisonment, or both, under §1001 of Title 18 of the United States Code, the willful false statements may jeopardize the validity of the application, any patent reon, or any patent to which this verified statement is directed.
NAME OF	PERSON SIGNING: Barry Fogel
TITLE OF	PERSON: Managing Director, Synchronewron LLC
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SIGNATU	DATE 2/25/98
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has not been reported as a treatment for anal fissures. Sucralfate, when applied to a damaged mucosa, forms an adherent film that protects the mucosa and promotes healing (Kochhar et al., 1990; Diseases of the Colon and Rectum, Volume 33, pp. 49-51). In addition, sucralfate lowers local levels of the inflammatory mediator PGE<sub>2</sub> (Zahavi et al., 1989; Diseases of Colon and Rectum, Volume 32, pp. 95-98).

#### **Summary of the Invention**

One aspect of the invention is a composition comprising a combination of nitroglycerin and sucralfate. Yet another aspect is a composition comprising a combination of nitroglycerin, lidocaine and sucralfate. These compositions may be combined in a petrolatum base along with a water soluble lubricant such as K-Y<sup>TM</sup> Jelly. These compositions have analgesic properties.

Another aspect of the invention is a method for treating a painful condition on a body portion which includes providing a composition of nitroglycerin and sucralfate and applying the composition to the body. The composition may also include lidocaine. The compositions may further be mixed with an ointment base such as petrolatum and a water soluble lubricant. These ointments are particularly effective in treating painful conditions of the anal region by applying the composition to the anal region. Painful conditions which respond to the treatment of the invention include anal fissures, thrombosed or inflamed hemorrhoids, pain resulting from ligation of internal hemorrhoids and other chronic anal pain. A suitable water soluble lubricant is K-Y<sup>TM</sup> Jelly.

#### **Description of the Preferred Embodiment**

As discussed above, nitroglycerin alone and lidocaine alone have been proposed as a treatment for painful conditions in the anal area. The local anesthetic effect of lidocaine is based on a different mechanism of action than the analgesic effect of nitroglycerin. For this reason, I thought these two compounds might have additive or synergistic actions in the treatment of pain of anal fissures and other painful conditions in the anal region. I further reasoned that sucralfate might keep the other two ingredients adherent to the fissure, prolonging their action. Furthermore, the adherent film produced

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by sucralfate might protect the raw area from irritation by the fecal stream. With these considerations in mind, I prepared a composition which was the combination of nitroglycerin and sucralfate. This formula was 60 grams of K-Y<sup>TM</sup> Jelly, 15 grams of 2% nitroglycerin ointment, and 6 grams of sucralfate. I prepared a second composition of nitroglycerin, lidocaine and sucralfate in a base of petrolatum and a water soluble lubricant. The formulation was 240 grams petrolatum, 180 grams of K-Y<sup>TM</sup> Jelly, 60 grams of 2% nitroglycerin ointment, 30 grams of sucralfate and 9.6 grams lidocaine base. The amount of nitroglycerin may be in the range of 30-60 grams. The sucralfate was in powder form.

Case 1: A 49 year old man had a chronic anal fissure, which had persisted for several months, producing chronic rectal pain relieved only by narcotic analgesics.

Anoscopy, performed separately by a rectal surgeon and a gastroenterologist, confirmed the presence of a moderately large anal fissure. The surgeon thought that surgery was necessary, and the gastroenterologist proposed cauterization. Neither felt that the fissure would heal without invasive treatment of some kind.

The patient was first treated with nitroglycerin cream, 0.5%. (The cream was prepared by diluting 2% nitroglycerin ointment with a K-Y<sup>TM</sup> Jelly. This gave substantial but not complete pain relief that lasted about 2 hours, after which the pain gradually returned. Over several weeks, pain was relieved every time the cream was applied, but returned if it was stopped. He was then switched to the combination of nitroglycerin and sucralfate. With this combination, relief lasted 3-4 hours at first, and then lasted longer and longer with repeated applications. Within two weeks, he had full relief applying the cream only twice a day. Within six weeks, the symptoms were totally relieved and the analgesic cream was no longer necessary.

Subsequent Cases: 4 subsequent patients, all but one with anoscopically confirmed anal fissures, were treated with the combination of nitroglycerin, lidocaine, and sucralfate, with the expectation of even better relief. (Patient #4 suffered from chronic anal pain of unknown cause.) All 4 of those treated obtained relief, and all chose to continue the medication for several days. Patients were instructed to use the cream as

often as they needed for pain relief they found satisfactory. The following table summarizes these patients' reports of their experience with the analysesic cream:

Patient: Sex and age	1: 9 47	2: ♀ 37	3: ♂ 69	4: o 54
-			1	(chronic anal
i			1	pain)
Duration of pain before treatment	1 week	2 weeks	5 weeks	2 years of
	1			discomfort, 5
			L	months of pain
Other treatment tried	None	Generic	Anusol <sup>TM</sup> ,	None
	ł	hemorrhoid	Nupercainal™,	
		cream	sitz baths	
Time from application to relief	30 minutes	5 minutes	30 minutes	5-10 minutes
Percentage of pain remaining	25%	50%	50%	No pain
after application of the cream				
Times a day applied	2	2-3	2	2
Persistence of benefit	Yes	Yes	Yes	Yes
Resolution of painful condition	Yes	Yes	Yes	Partial
Time to resolution of condition	2 weeks	. I week	l week	Severe pain
			1	resolved in 1
				week
Side effects	Headache	Headache,	None	Burning
	ļ	burning		sensation
		sensation		l
Stopped medication because of	No	No	No	No
side effects		<u> </u>		
Persistence of side effects	No	Yes	N/A	No

Five patients, all with anoscopically confirmed fissures, received jars of the analgesic cream, and were instructed to apply it as needed to eliminate their pain. All got complete relief within minutes. The complete relief they obtained contrasted with the partial relief usually reported by patients treated with nitroglycerin ointment alone. Applications about four times daily were adequate to completely control their pain. Three of the patients had been scheduled for surgery to treat their fissures. They had been given the cream 3 to 4 weeks before the date planned for the operation. All three patients cancelled their operations, because they had had sufficient pain relief. One underwent repeat anoscopy, which revealed complete healing of the fissure.

None of the 5 patients required any oral analgesics, sitz baths, or other treatments to relieve pain, as soon as they had access to the nitroglycerin-lidocaine-sucralfate cream.

An additional experiment was performed to establish the effect of the sucralfate.

A 64 year old man with severe pain following the rubber band ligation of a hemorrhoid was treated. He had had six weeks of pain prior to the treatment. We treated him on

alternate days with either the composition including nitroglycerin, lidocaine and sucralfate or the composition without the sucralfate. He was instructed to reapply the formula any time the pain began to recur. The three ingredient formula gave 90% relief (i.e., pain reduced to 10% of baseline) within fifteen minutes. The patient applied the cream three more times during the next twenty-four hours obtaining satisfactory relief.

The formulation without sucralfate gave less relief, and the pain recurred sooner. The patient applied the two-ingredient (without sucralfate) formula a total of five more times during the next twenty-four hours. Not only did the three-ingredient (with sucralfate) formula act faster, but it was associated with a less severe headache than the two-ingredient formula. The two-ingredient formula may have caused a worse headache because the patient might have used more of it to get relief. Alternatively, the sucralfate in the three-ingredient formula may have slowed the systemic absorption of nitroglycerin.

An additional three patients were treated with various formulations to establish the benefit of sucralfate and to illustrate that the concentration of nitroglycerin needed to treat anal fissure can be lower than that reported in the literature. These cases also show that adding nitroglycerin to the sucralfate-lidocaine combination improves efficacy. The three additional cases are shown in the table below:

Patient: Sex and age	1: 9 68	2: ਰਾਂ 81	3: ♂ 33
Diagnosis	Anal fissure	Anal fissure	Inflamed
			hemorrhoid
Duration of pain before treatment	6 months	3 months	2 months
Other treatment tried	None	Sitz baths,	Desitin
<u> </u>		suppositories;	ointment
		cortisone cream	
Time from application to relief	30 minutes	15 minutes	5 minutes
Percentage of pain remaining	25%	70% after first	Complete
after application of the cream		application;	relief
į.		almost none	
		after 4 weeks	
Times a day applied	5	2-3	3-4
Persistence of benefit	Yes	Yes	Yes
Resolution of painful condition	Yes	Yes	Yes, but it
			recurs
			occasionally
Time to resolution of condition	Not known	4 weeks	Not known
Side effects	None	None	No
Stopped medication because of	No	No	No
side effects			
Persistence of side effects	N/A	N/A	N/A

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Patient #1 received the nitroglycerin-lidocaine-sucralfate formula discussed above (formula A) and a formulation without sucralfate (formula B) in the sequence A-B-A over three days. The reported benefit shown in the table above resulted from use of formula A. Formula B was not tolerated; it produced a throbbing headache. This case suggests that sucralfate may actually provide some protection against nitroglycerin-induced headache, perhaps by influencing the absorption of the nitroglycerin.

Patient #2 in the table above received a modified formula with 30 grams of 2% nitroglycerin ointment per 500 grams of the nitroglycerin-lidocaine-sucralfate mixture. The concentration of nitroglycerin in this mixture — 0.12% — was lower than the 0.2% concentration reported in recent randomized controlled trials of the use of nitroglycerin as a single agent. Nonetheless, the mixture was efficacious and did not cause headaches (or any other side effects). This case supports the inventor's premise that nitroglycerin in combination with sucralfate and lidocaine is superior to nitroglycerin alone. The combination is efficacious at lower doses of nitroglycerin and the combination is less likely to cause headache.

Patient #3 received formula A and a formulation without nitroglycerin (formula C) in the sequence A-C-A over three days. This formula C (without nitroglycerin) did not give complete relief; the patient estimated that 25% of the pain remained after application. This case supports the relevance of nitroglycerin to the analgesic activity of the mixture, even in conditions other than anal fissure, where the efficacy of nitroglycerin is well established.

<u>Conclusions</u>: A topical analgesic cream or ointment for anal fissures that contains nitroglycerin will be more efficacious if it also contains sucralfate. A cream or ointment containing nitroglycerin, sucralfate, and lidocaine is especially efficacious.

Other anal and rectal conditions, such as inflamed hemorrhoids, produce pain for the same reasons as an anal fissure -- a combination of inflammation and spasm of the anal sphincter. Therefore, the combination cream or ointment is expected to be similarly helpful for the pain of those conditions (as demonstrated in patient #3 in the table above). The cream or ointment may also provide relief for pain following rubber band ligation of internal hemorrhoids, as this procedure often leads to sphincter spasm and local

inflammation. Finally, the fourth additional case shows that the cream or ointment may be helpful for chronic anal pain of unknown cause.

The triple combination is more likely to produce complete and rapid pain relief than either nitroglycerin or lidocaine alone. It may provide a longer duration of relief than nitroglycerin, as well. Despite the availability of all of the ingredients for many years, there are no reports of the combination being tested as a treatment for the pain of anal fissures or related conditions.

What is claimed is:

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- 1. Composition comprising a combination of nitroglycerin and sucralfate.
- 2. Composition comprising a combination of nitroglycerin, lidocaine and sucralfate.
- 3. The composition of claims 1 or 2 wherein the combination is in a petrolatum base.
  - 4. The composition of claim 3 further including a water soluble lubricant.
  - Analgesic composition comprising:
     nitroglycerin and sucralfate in an ointment or cream base.
  - Analgesic composition comprising:
     nitroglycerin, lidocaine and sucralfate in an ointment or cream base.
  - 7. The analysesic composition of claims 5 or 6 wherein the ointment or cream base comprises petrolatum and a water soluble lubricant.
    - 8. Method for treating a painful condition on a body comprising: providing a composition of nitroglycerin and sucralfate; and applying the composition to the body.
    - 9. Method for treating a painful condition on a body comprising: providing a composition of nitroglycerin, lidocaine and sucralfate; and applying the composition to the body.
- 10. Method of claims 8 or 9 wherein the providing further includes mixing the composition in an ointment or cream base.
  - 11. Method of claim 10 wherein the ointment or cream base comprises petrolatum and a water soluble lubricant.
    - 12. Method for treating painful conditions of the anal region comprising: providing a composition of nitroglycerin and sucralfate; and applying the composition to the anal region.
    - 13. Method for treating painful conditions of the anal region comprising: providing a composition of nitroglycerin, lidocaine and sucralfate; and applying the composition to the anal region.
- 14. The method of claims 12 or 13 wherein the composition includes an ointment or cream base.

- 15. The method of claim 14 wherein the ointment or cream base comprises petrolatum and a water soluble lubricant.
- 16. The method of claims 12 or 13 wherein the painful condition is an anal fissure.
- 5 17. The method of claims 12 or 13 wherein the painful condition is thrombosed or inflamed hemorrhoids.
  - 18. The method of claims 12 or 13 wherein the painful condition results from ligation of internal hemorrhoids.
- 19. Method for treating a painful condition of the anal region comprising:

  providing a composition of nitroglycerin, lidocaine and sucralfate in a
  base of petrolatum and a water soluble lubricant; and
  applying the composition to the anal region.
  - 20. The method of claim 19 wherein the composition comprises
    approximately 240 grams petrolatum, 180 grams of lubricant, 60 grams 2% nitroglycerin,
    30 grams sucralfate and 9.6 grams lidocaine.
    - 21. The method of claim 20 wherein the lubricant is  $K-Y^{TM}$  jelly.

### Abstract of the Disclosure

Method and composition for treating painful conditions of the body, particularly the anal region. The compositions include a combination of nitroglycerin and sucralfate or a combination of nitroglycerin, lidocaine and sucralfate. The compositions may be included in a petrolatum base along with a water soluble lubricant. These compositions have been found effective in treating painful conditions in the anal region, such as anal fissures, inflamed or recently thrombosed hemorrhoids, and other chronic anal pain.

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# COMBINED DECLARATION AND POWER OF ATTORNEY

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name,

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

# METHOD FOR TREATING PAINFUL CONDITIONS OF THE ANAL REGION AND COMPOSITIONS THEREFOR

the specification of which (I authorize Choate, Hall & Stewart to check one of the following, three choices, and fill in the blanks, if applicable):

_X_	is attached hereto			
	was filed on Serial No applicable).	as Applic	ation	(if
	on	international application No and was amended ur (if applicable).	nder PCT Articl	e 19
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foreign applicat below any forei	ion(s) for patent or i ign application for pa on on which priority	ty benefits under Title 35, Unit inventor's certificate listed belo atent or inventor's certificate hat is claimed:  Priority Claimed	w and have also aving a filing da	identified
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I hereby claim the benefit under Title 35, United States Code, §120 of any United States application(s) or PCT international application(s) designating the United States of America listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, §112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, §1.56 which became available between the filing date of the prior application and the national or PCT international filing date of this application:

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Application Number Filin	ng Date	Status

I hereby appoint the following attorney(s) and/or agent(s) to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Sam Pasternack, Reg. No. 29,576; David J. Powsner, Reg. No. 31,868; Mary Rose Scozzafava, Reg. No. 36,268; Mary Raynor Jimenez, Reg. No. 37,219; Brenda Herschbach Jarrell, Reg. No. 39,223; Douglas D. Robinow, Reg. No. 40,787 and Kevin M. Tormey, Reg. No. 41,351.

Address all telephone calls to Sam Pasternack at telephone no. (617) 248-5000.

Address all correspondence to Sam Pasternack, Choate, Hall & Stewart, Exchange Place, 53 State Street, Boston, Massachusetts 02109-2891.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United State Code and that such willful false statements may jeopardize the validity of the application or any patents issued thereon.

Full name of sole inventor Barry S. Fogel, M.D.
Full name of sole inventor Barry S. Fogel, M.D.  Inventor's signature S. Fogel, M.D. Date: 2/25/98
Residence: 48 Harwich Road, Providence, Rhode Island 02906
Citizenship: U.S.A.
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ATTORNEY DOCKET NO.: 0264724-0003

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Barry S. Fogel, M.D.

Examiner:

Serial No.:

Group Art Unit:

Filed:

For:

METHOD FOR TREATING PAINFUL CONDITIONS OF THE ANAL

REGION AND COMPOSITIONS THEREFOR

# VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS 37 CFR 1.9(f) and 1.27(c) SMALL BUSINESS CONCERN

I hereby declare that I am

( ) the owner of the small business concern identified below:

(X) an official empowered to act on behalf of the small business concern identified

below:

NAME OF CONCERN:

Synchroneuron, LLC

ADDRESS OF CONCERN:

48 Harwich Road, Providence, Rhode Island 02906

I hereby declare that the above-identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For the purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full time, part time, or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when, either directly or indirectly, one concern controls or has the power to control both.

I hereby declare that rights under contract of law have been conveyed to and remain with the small business concern identified above with regard to the invention titled:

TITLE:

METHOD FOR TREATING PAINFUL CONDITIONS OF THE

ANAL REGION AND COMPOSITIONS THEREFOR

by:

**INVENTORS:** 

Barry S. Fogel, M.D.

described in:

(X)	the specification filed herewith
()	U.S. Patent Application Serial Number
	filed
()	U.S. Patent Number
	issued
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*NOTE: Sorganization 1.27).	eparate verified statements are required from each named person, concern, or having rights to the invention, averring to their status as small entities. (37 CFR
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SIGNATUR	E DATE 2/25/98
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